

# State Estimation for Game AI Using Particle Filters

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## Abstract

Artificial intelligence for games is a challenging area in that it has tight processing constraints and must be fun to play against or with. Some of the latest techniques from robotics research are likely to be applicable to game AI. In particular, we propose that particle filters are well suited to the game AI problem. In this paper, we introduce particle filters, justify their use in game AI, and show the results implemented in a simple game developed using the crystal space game engine.

## Introduction



Figure 1: A simple game in which particle filters were implemented.

We all see it, be it in real-time strategy games, first person shooters, adventure games or pretty much any game with AI. It's the non-player character (NPC) that knows too much, some might even say omniscient. The bad guy who can always find you no matter how much you run around the twisting maze or open and close doors. How do they *always* know where you are? Well the answer is simple and it's obvious to anyone who plays computer games. Game AI always cheats.

As game AI developers, our goal is clear: Let the player win in such a way that he feels he is challenged. Current Game AI challenges the player by adding more enemies and tougher enemies rather than creating smarter enemies. It is argued that "As long as the player has the illusion that a computer-controlled character is doing something intelli-

gent, it doesn't matter what AI (if any) was actually implemented to achieve that illusion"(Liden 2003).

On the surface, this seems to be a reasonable statement. We argue that this statement may lead AI developers down a path with an unsatisfactory result. The base question is: Is it easier to give the illusion of intelligence, or to make something actually be intelligent?

The problem with AI in today's games is that they do not give us the illusion that the NPC is very intelligent, although there have been some excellent efforts in this direction. We argue that the best way to give NPCs the illusion of intelligence is to *actually give them intelligence* using a computationally efficient method.

It is clear that, in most any game, NPCs are using too much omniscience against us. Thus the question becomes, what omniscient information should we use to make the AI smarter and what omniscient information should we conceal from the agent to make the AI dumber.

Actually, let's rephrase that last sentence. It should read "what omniscient information should we use to make the game AI dumber and what omniscience should we take away to make the AI smarter". What we're saying is that if we cheat less, the NPCs will not only look smarter they will *be* smarter. In this matter, we are departing from the current practice in game AI.

The problem was that, until recently, making an NPC actually *be* smarter was beyond the processing capabilities left over for the game AI due to our lack of effective techniques. Some recent techniques which have gained popularity in robotics may shed some light on how we can actually make our NPCs smart in an efficient manner for computer game AI.

There are two things required for an NPC character to be smart: Making observations about the game world with senses that a player feels are fair, and then taking actions based only on the information that you have received. In this paper we will mostly address the former and partially address the latter.

We argue that efficient *state estimation* for game AI is now feasible by using a method known as particle filters. This has become a very successful and popular method re-

cently in the field of robotics in a variety of applications including robot soccer (Thrun 2002).

Robotics has many of the same limitations as game AI. Decisions must be made quickly to react with environments changing in real-time. The processor is spending much of its time processing observations, running motors, and other functionality unrelated to AI and not much is left for thinking. Thus, we argue that methods successful in robotics are likely to also be successful in game AI.

In particular, we hope to convince the reader that particle filters are such a method. They have the ability provide information to the AI about the state of the world in much the same way as a person would receive information about the state of a world. They can also do so in a computationally efficient manner. They are tunable; Given more computing time they are “smarter”, given less time they are “dumber”. This can be used by the game designer to tune the intelligence of NPCs in the game so that instead of having to make enemies more abundant or tougher, we can finally just make them actually smarter and thus more of a challenge.

First, we will describe the typical scenario where it’s obvious to the player that the AI is cheating and describe the problem that we will address with this work. We will present some background on state estimation and the basic mathematics of particle filters. Then we will show how these methods can be applied to game AI. The results of this application are shown in a simple game created using the crystal space game engine. A screenshot of our game is shown in figure 1. We will then conclude with the future directions this technology may lead.

## We know you’re cheating: The problem with too much omniscience

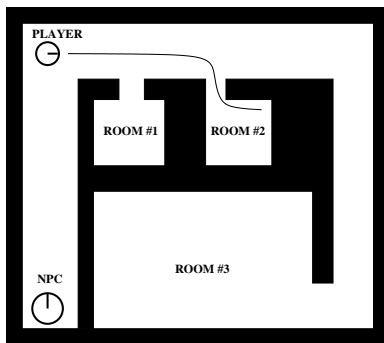


Figure 2: How does the NPC always know to go straight to room 2 where the player chose to hide instead of checking room 1 first?

It’s obvious to anyone who has ever played against any game AI that the NPCs always know where you are. Take, for example, figure 2 above. The player runs away from an NPC who is down the hall and begins to chase. The player hides in room two. The NPC never stops to check room one or ever passes past room two, it will always go directly to the player (although we might make it take more or less time). Why does the NPC do this? Well the NPC is cheating. It

always knows where the player is. It is *too* omniscient.

Even more so, it doesn’t even have the capability to reason about where the player might be, so that it cannot possibly make decisions based on looking where the player might be or guessing one of the possible locations of the player. We argue that determining information about where the player might be in a reasonable manner will open a brand new set of options to the game AI programmer and to the game designer. One could actually search for the player, or coordinate groups of agents to perform this search.

In the example above, let us overlay the map with a coarse grid of cells, perhaps the same set of coarse grid cells being used for path planning such as those proposed for game AI path planning in (Dickheiser 2003; van der Sterren 2003).

If we give each grid cell a boolean variable with values “player not here” or “player possibly here”, then we can use this information to reason about where a player might be. With this information we can have the NPC search the map room by room for the player, or randomly choose rooms to check. When the NPC looks somewhere and doesn’t see the player, we can mark all of the grid cells in that area with “player not here” and then look elsewhere. Knowing where the player is or might be is a form of *state estimation*. We will talk more about state estimation in the next section

All we need is a way to update our grid of boolean variables so that it correctly represents where the player might be, given what all or some group of the NPCs on the map can see. There are mathematically sound, and computationally efficient methods to perform the task of state estimation. In this paper, we will concentrate on using particle filters.

## Background

Firstly, let us define what we mean by state. The “state” of a system is typically a vector of variables which contains all the relevant information required to describe the system under investigation. We will use the notation  $x$  to denote the state vector and  $x_t$  to denote the state of the system at time  $t$ . When a system is *fully observable*, then the entire state vector  $x$  is known. Typically, the next state of the system is a function  $f()$  (possibly non-linear) of the previous state and some noise  $v_t$ :  $x_{t+1} = f(x_t, v_t)$ . In this paper, the state we are typically interested in will be the position of the player. Thus the state vector  $x_t$  will consist of the position of the player, or possibly the position of all players in the game.

In robotics, it is often impossible to observe state variables (such as the position of your robot, or other robots in the area) directly. They are often measured using *observations* which are a noisy function of the state. We will denote observations using  $z$  where an observation is a noisy (with noise  $n$ ) function of the state:  $z_t = h(x_t, n_t)$ . A typical observation might be “I cannot see the player in a particular viewing radius, or “I can see the player and she is at position (x,y)”.

In a typical computer game, the state of interest to an AI agent is the location, orientation, velocity and possibly other

information about a given player, set of players, or other automated agents in the game. With partial or full knowledge of this information, the bot or AI agent can reason about what actions should be performed to either attack or assist the players or other agents.

The problem in game AI is that we always use the entire state of the player including their position, orientation, velocity etc. to find the player. Why would we spend effort reasoning about what an NPC might believe about the state? The answer is simple: If you do not reason about observing the state of the other agents in the game and simply use the full known state to perform behaviors or run state machines, then your agent will be cheating and it will be obvious to anyone who sees it act in the game. In fact, what we'd like to do is calculate a belief state: A probability distribution that the NPC maintains which reflects what the NPC or NPCs know about the true state of the player. This is done by incorporating realistic observations that the NPC could have made using vision or hearing.

## Particle Filters for Game AI

Assume that we have a prior probability distribution over the location of single player in the map. That is we have some guess in the form of a probability distribution  $p$  over the state at time 0 as  $p(x_0)$ . This corresponds to how likely it is for the player to be at a given location. ie. we might start assuming the player is equally likely to be at any location, or we might say that the player starts at an exact point on the map.

We will reason about the state of the player  $x_t$  in discrete time steps. This is typically valid in computer games, since calculations are performed at each frame to be rendered. The method still applies if the interval between time steps varies. What we would like to determine is a posterior probability distribution over where the player might be given the observations of one or more NPCs on the same map. This posterior probability distribution over the state is also known as the *belief state*. That is we are trying to determine where we think the player might be at time  $t$  given our initial guess  $p(x_0)$  and all the observations of the state at every time step until the present time:  $z_{0..t}$ .

A solution to this problem is provided by Bayes filters (Jazwinsky 1970). Bayes filters give us a recursive solution to estimating the probability of the player being in any location at the current time  $x_t$  given the observations  $z_t$ . That is, given a guess at the initial state, we recursively estimate the position of the player at time  $t$  by incorporating measurements at each time step. The general equation for a Bayes filter is shown in equation (1).

$$p(x_t|z_t) = c \cdot p(z_t|x_t) \int_{x_{t-1}} p(x_t|x_{t-1})p(x_{t-1}|z_{t-1})dx_{t-1} \quad (1)$$

Equation (1) can be worded in English as follows: the probability that the state is  $x$  at time  $t$  given the most recent

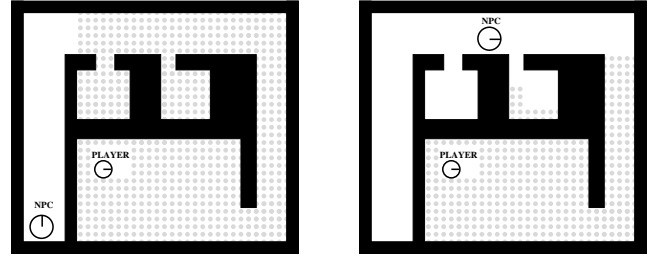


Figure 3: Left: Particles (the small shaded circles) represent possible locations of the player. Right: As the NPC searches, particles in the NPC's line of sight when the player is not present are removed

measurement is equal to the probability of the current measurement  $z_t$  given that the robot might be in state  $x$  times the likelihood of being in state  $x$  given our belief and measurements at the previous time steps multiplied by a normalizing constant  $c$ .

A Bayes filter is equivalent to the well known Kalman filter (Kalman 1960) if we use gaussian distributions to represent the probability distributions above. Although Kalman filters are efficient state estimators, they are most useful for distributions with single modes and next states which are a linear function of the prior state and known gaussian noise. Kalman filters are not well suited to game AI because we cannot reason about situations such as “the player is either in room one, room two or room 3”, since that scenario would not be well represented by a gaussian distribution.

Particle filters represent the probability distributions in equation (1) using a non-parametric representation. That is, it will represent the distribution as a set of weighted samples (known as particles) from that distribution. Each sample  $x^i$  has a weight  $w_i$ , where  $i$  is an integer index for a given sample. Typically, we will represent a probability distribution using a fixed number of samples  $N_s$ . The  $w_i$  sum to one:  $\sum_i w_i = 1.0$ .

## The algorithm

We have implemented one of the simplest versions of the particle filter to demonstrate its use in a computer game. There are an almost infinite number of particle filter variations, but we have chosen to implement the Sampling Importance Resampling Filter version of the particle filter described in (Arulampalam *et al.* 2001). The algorithm (shown in figure 4) is trivial to implement and requires only a couple pages of code in C++.

This algorithm is called repeatedly at each time step or frame. A more intuitive description of the algorithm in our game world follows. Each sample corresponds to a possible location of the player. The sample data structure has an  $x$  and  $y$  position and a weight associated with it. Particle filtering consists of three main steps. The first step, generating a proposal distribution, asks “Where could the player have moved to now given what I knew about where he might have been an instant before. The second step, incorporating observations, asks “given what I can see, update my belief about

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$$[\{x_t^i, w_t^i\}_{i=1}^{N_s}] = SIR[\{x_{t-1}^i, w_{t-1}^i\}_{i=1}^{N_s}, z_t]$$

Generate proposal distribution:

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for  $i = 1 : N_s$ 
  Draw a sample  $x_t^i$  from  $p(x_t|x_{t-1}^i)$ 
end for

```

Incorporate observations:

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for  $i = 1 : N_s$ 
  Calculate  $w_t^i = p(z_t|x_t^i)$ 
end for

```

Renormalize the weights to sum to one:

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 $t = \sum_{i=1}^{N_s} (w_t^i)$ 
for  $i = 1 : N_s$ 
   $w_t^i = \frac{w_t^i}{t}$ 
end for

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Resample the distribution according to (Arulampalam *et al.* 2001):

$$[\{x_t^i, w_t^i\}_{i=1}^{N_s}] = RESAMPLE[\{x_t^i, w_t^i\}_{i=1}^{N_s}]$$


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Figure 4: The Sampling Importance Resampling particle filter.

where the player is”. The third, resampling, is required for the filter maintain diversity.

When generating a proposal distribution we used:  $p(x_t|x_{t-1}^i)$  to be brownian motion. That is, we took a given input particle and added a random number to the x and y coordinate. This essentially means that the player may move randomly or purposefully, but still be found.

By incorporating observations, we are referring to incorporating observations from the NPC characters in the map. Our observation model consists of two modes. If the player is visible by the NPC, then all particles are weighted proportional to their distance from the player. If the player is not visible by the NPC, then we use a replication of a laser range finder to mimic the field of view of an NPC agent. Thus we send out a set of rays from the NPC as depicted in figure 5. Particles that are close to the ray have a low probability, since the NPC can see there, and the player is not visible. This has the effect of removing the particles where the player can see, thus the NPC can sweep the environment of particles. Any location where there are particles remaining is a possible location of the player. Areas containing particles indicate an area where the player may reside.

The resampling procedure simply resamples particles according to the renormalized weights. Particles with higher weights get sampled more often than particles with lower weights.

Computationally, the above algorithm is linear in the number of samples multiplied by the number of laser range finder lines used in the observation model  $O(N_s \cdot N_{lasers})$ . The beauty of particle filters is that they can be tuned to let an agent be “smarter” or “dumber” simply by changing the number of particles used. Thus, particle filtering is consid-

ered to be an “anytime” algorithm. We can stop processing whenever we run out of time. If we have more time, we’ll get a more accurate answer. If we have less time, the answer will not be as precise because we are using less particles to represent the posterior probability distribution.

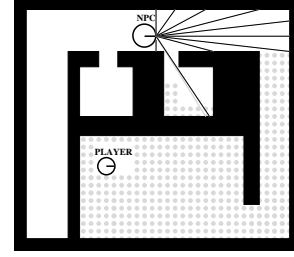


Figure 5: This figure depicts the laser range finding lines that form the NPC observation model.

## Results on a simple game

The game consists of two teams of robots on a two dimensional map. One team is controlled either by a human or an NPC and the other team is always controlled by NPCs. The objective is simply to defeat the other team by throwing blocks at the other team. It takes four hits to disable a robot, and you can only have one block in the air at a time. A screenshot of the game is shown in figure 1.

We find that, on the maps we use, between 200 and 500 particles are sufficient in order to represent the possible locations of the player. Larger maps require more particles. We use about 10 laser range finder measurements per NPC when there are fewer NPCs on the map, and only about two or 3 measurements when there are many NPCs on screen. For every laser range finder measurement we perform one line of sight test to the nearest obstacle on the map, one distance calculation and possibly one cross product per particle. These computations require a minimum percentage of the CPU processing power.

A simple controller for the NPCs is used. The NPC tries to navigate to the mean of the posterior distribution (the middle of the cloud of particles). This had the desirable effect of clearing particles from one area and then causing the NPC to search a new area.

We ran experiments with up to thirty agents searching for a single player at which point computation was such that it started to slow the frame rate of the game to below 25 frames per second. During this final experiment, the player also tracks each of the NPCs using a particle filter. In total, there are 31 particle filters being executed simultaneously tracking 31 agents. The experiments were performed on a 1.0 GHz pentium 4 with 500MB of memory running Red Hat 9.0. None of the code was optimized to any extent either by the compiler or the programmer.

In another experiment, we reduced the number of particles to see what occurs when there were not enough to cover the map. The NPCs still search the map, but it is often the case that they believe the player to be in an area in which they

are not. Thus the NPCs search the area randomly. If they ever cause the posterior probability to drop below a threshold, we restart the particle filter with the particles distributed evenly over the map. In all, this particle starvation created a reasonable random searching method by the agents. They would randomly check various areas of the map. Thus, given less computation particle filters with a simple controller still yields reasonable behavior.

While a picture is worth a thousand words, clearly a video would better be able to show the capabilities of particle filtering. We have created a short video of our game engine using particle filters. Please visit [www.cs.cmu.edu/~curt/research.html](http://www.cs.cmu.edu/~curt/research.html) for more information.

### Suggested modifications and control methods

Changing the proposal distribution from a brownian motion based model to something more similar to a pursuit evasion style model such as in (Parsons 1976) using the navigation grids already available (Dickheiser 2003; van der Sterren 2003), would greatly improve performance.

There are reasonable approximations to the optimal solution of finding an adversary under uncertainty only now becoming available (Roy & Gordon 2002). There is also a large body of literature on pursuit evasion which can also be applied to this problem (Gerkey 2004). It is possible that the above methods can be optimized sufficiently to be of use for games. However, it is more likely that, in the near future, particle filters will be used with another control method familiar to the game industry such as state machines, neural nets, or hand coded behaviors.

One effective method would be to have the level designer or an algorithm predefine a set of areas in the map. The NPC controller could then look in the nearest area which hasn't been cleared of particles. Or agents could bid on rooms which they wanted to search using auction methods which have recently become popular in robot soccer and multi-robot control (Vail & Veloso 2003; Zlot *et al.* 2002).

With any choice of controller, we can then have the NPCs display their new found intelligence to the player by making statements such as: "I'm going to check the living room", or "Bob! Check the barracks!". Another interesting feature would be to display the particles to the player after the fact to show the player exactly how the AI beat them.

One could also allow for more or less coordination between the agents. For instance each NPC tracking the player could have its own particle filter. A new type of observation might be information from a second NPC that they had checked certain areas, at which point those areas would be cleared. If the player were in earshot, this form of communication might come via radio that "Bob, I've checked the living room and the barracks and I didn't see anything". Particle filters also would allow misdirection. The player throwing a rock into a distant room could be treated as an observation which would cause the NPC to search that room. Needless to say, this would allow for new types of game play currently unavailable.

## Conclusions and Future Directions

The objective of this paper is to introduce state estimation to the field of game AI and justify its use. In particular, we present particle filters as a theoretically sound and computationally efficient method by which NPCs can track the location of a player or other agents in a map. Using this information can lead to a variety of new game play and agents capable of reasoning about future actions based on realistic estimations of the player's position.

It is also the author's view that techniques are now becoming available to actually give computer controlled characters useful intelligence using computationally efficient methods. Since actual intelligence is cheap to achieve, why give the player the illusion of intelligence, when the real thing is possible within our limitations?

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